

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**ROWL-9955**

In Re Application Of: **McClain, et al.**

Serial No.  
**09/578,001**

Filing Date  
**05/24/2000**

Examiner  
**Wood, E.**

Group Art Unit  
**1755**

Title:

**METHOD AND APPARATUS FOR PRODUCING AN AQUEOUS PAINT  
COMPOSITION FROM A PLURALITY OF PREMIXED COMPOSITION**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

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**FEB 12 2002**  
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(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
ROWL-9955

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FEB 08 2002

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Examiner

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1755

METHOD AND APPARATUS FOR PRODUCING AN AQUEOUS PAINT  
COMPOSITION FROM A PLURALITY OF PREMIXED COMPOSITIONS**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-0513 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
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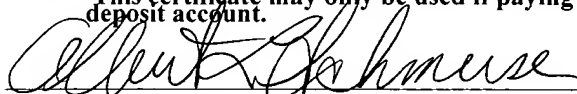
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Signature of Person Mailing Correspondence

Heather Clark

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\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: 2-2-02

Albert L. Schmeiser

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480/655-0073

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO**  
**37 C.F.R. §§1.97-1.99**

*PATENT APPLICATION*

Applicant: McClain, et al.

Docket No.: ROWL-9955

FOR: METHOD AND APPARATUS FOR PRODUCING AN AQUEOUS PAINT  
COMPOSITION FROM A PLURALITY OF PREMIXED COMPOSITIONS

Serial No.: 09/578,001

Filed On: May 24, 2000

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

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Sir:


In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.99, Applicant(s) hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO-1449. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Further, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

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This Information Disclosure Statement submitted herewith is being filed before the mailing date of a Final Action under 37 C.F.R. §1.13, a Notice of Allowance under 37 CFR §1.311, or an Action the otherwise closes prosecution in the application, and is accompanied by the fee set forth in 37 CFR 1.17(p).

Respectfully submitted,

By   
Albert L. Schmesier  
Reg. No. 30,681

Date: 2-2-02

Enclosures: PTO-1449  
Patent copies